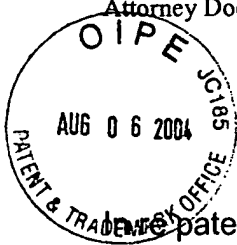


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4
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8-12-04

Internal patent application of:

) Date: August 4, 2004

John F. Braun, et al.

) Attorney Docket No.: F-560

Serial No.: 10/065,285

) Customer No.: 00919

Filed: September 30, 2002

) Group Art Unit: 2626

Confirmation No.: 5700

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Technology Center 2600

For: METHOD AND SYSTEM FOR IDENTIFYING A PAPER FORM USING A
DIGITAL PEN

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

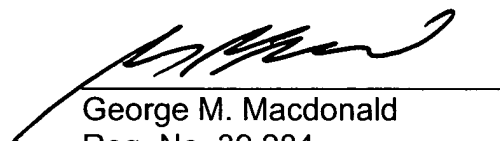
A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 16-1885.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



George M. Macdonald
Reg. No. 39,284
Attorney of Record
Telephone (203) 924-3180

PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)
One copy of each of the references

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

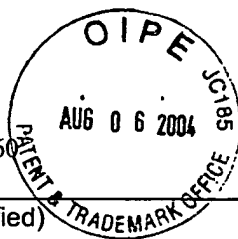
On August 4, 2004
Date of Deposit

George M. Macdonald
Name of Registered Rep.



Signature

August 4, 2004
Date



FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket Number F-560	Serial No. 10/065,285
	Applicant John F. Braun, et al.	
	Filing Date September 30, 2002	Group Art Unit 2624

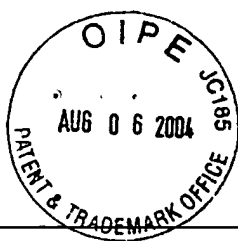
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U.S. PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 2001/0055411A1	12/27/01	Black	382	124	5/25/01
	AB	US 2002/0107885A1	8/8/02	Brooks et al.	707	505	1/29/02
	AC	US 2002/0193975A1	12/19/02	Zimmerman	703	6	6/19/01
	AD	US 2003/0001020A1	1/2/03	Kardach	235	494	6/27/01
	AE	US 2003/0133629A1	7/17/03	Sayers	382	313	1/17/02
	AF	6,208,771 B1	3/27/01	Jared et al.	382	306	8/31/98
	AG	6,310,988 B1	10/30/01	Flores et al.	382	313	8/31/98
	AH	6,327,395 B1	12/4/01	Hecht et al.	382	306	8/31/98
	AI	6,502,756 B1	1/7/03	Fahraeus	235	494	5/26/00
	AJ	6,548,768 B1	4/15/03	Pettersson et al.	178	18.01	10/2/00
	AK	6,570,104 B1	5/27/03	Ericson et al.	178	18.09	5/26/00
	AL	6,573,887 B1	6/3/03	O'Donnell, Jr.	345	179	9/5/00
	AM	6,586,688 B2	7/1/03	Wiebe	178	18.09	3/21/01
	AN	6,594,406 B1	7/15/03	Hecht	382	306	12/31/98
	AO	6,609,653 B1	8/26/03	Lapstun et al.	235	375	11/12/02
	AP	6,627,870 B1	9/30/03	Lapstun et al.	250	221	10/20/00
	AQ	6,681,045 B1	1/20/04	Lapstun et al.	382	187	5/23/00
	AR	5,453,762	9/26/95	Ito, et al.	345	179	1/14/94
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	AT	6,396,598 B1	5/28/02	Kashiwagi, et al.	358	474	8/26/98
	AU	6,577,300 B2	6/10/03	Picoult, et al	345	179	4/11/01
	AV	US 2003/0046256A1	3/6/03	Hugosson, et al.	707	1	4/20/01
	AW	US 2002/0057824A1	5/16/02	Andreasson	382	101	11/9/01
	AX	US 2002/0035687A1	3/21/02	Skantze	713	168	6/7/01
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	AZ	6,311,042 B1	10/30/01	DeSchrijver	455	66	6/25/99
	BA						
	BB						



FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	CA	JP2001-43000A	02-2001	Japan			abst
	CB						
	CC						
	CD						
	CE						

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	DA	Anoto Advertising Booklet – "Uniting Handwriting with the Digital World", undated, 15 pages
	DB	Anoto Advertising Booklet – "Hey, Guess What Those Nifty Swedes Have Dreamed Up Now to Revolutionize Digital Communication?!", undated, 22 pages
	DC	"A Comparison of Anoto Technology with Other Releasant Systems." Undated. 17 pages.
	DD	Anoto Functionality – World Wide Web pages from www.anotofunctionality.com . Accessed 1/29/04. 7 pages.
	DE	Unknown "Anoto, Subsidiary of C Technologies, Initiates Collaboration with John Dickinson, UK's Leading Producer of Stationary Products, 4/23/2001, Business Wire, 2 pages
	DF	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.